Abstract

During the last decade, data mining, also known as knowledge discovery in databases (KDD), has been becoming one of the dominant research trends in the fields of computer science and knowledge engineering. Many research results have been proposed and successfully applied to the real world demonstrates that data mining is an active research area based on a solid background rather than an impulsive and volatile one as some people once suspected.

Data mining includes several approaches. Almost all techniques used in this area are borrowed from database, machine learning, artificial intelligence, information theory, probability & statistics, and high performance computing (including parallel computing). There is a wide range of problems need to be solved in this area such as classification/prediction, clustering, association rules mining, sequence mining. Data mining is also an interdisciplinary field because its research results are put into practice in commerce, finance & stock market, biology, medical treatment, education, telecommunication, and so on.

Being aware of the potential of this research domain, I make my choice of “Parallel Mining of Fuzzy Association Rules” for my master thesis. This dissertation not only summarizes the previous work but also remarks the delicate relationship between fuzzy association rules and fuzzy logic theory. In addition, the dissertation proposes a novel parallel algorithm for fuzzy association rules mining.

Roadmap: the thesis is organized as follows:

Chapter one presents an overview of data mining. It encompasses both several descriptive definitions of data mining and main steps in KDD. Also, This section mentions major approaches and techniques as well as classification of data mining systems according to different criteria. The conclusion of this chapter enumerates focused issues during the last few years (probably in next time) and outlines the chief applications of this research domain.

Chapter two formally describes the problem of association rules mining by giving basic concepts such as frequent itemset, minimum support, minimum confidence,
etc. Furthermore, this section sums up significant proposals in this field within its 10-year history. A complete understanding of this chapter means the readers will easily perceive next two chapters.

Chapter three presents fuzzy association rules. We first state the issue of mining quantitative and categorical association rules together with corresponding methods of data discretization. Quantitative association rules, however, exposes some drawbacks such as “sharp boundary problem” and non-intuitive interpretation. Fuzzy association rule was recommended to overcome these disadvantages. Besides the summarization of the previous research about this kind of association rule, this chapter tries to highlight the subtle relevance between fuzzy association rule and fuzzy theory by determining which functions are suited for T-norm operator. Finally, this section suggests a method to convert fuzzy association rules into quantitative ones based on a threshold $w_f$ of the membership function associated with the fuzzy set of each fuzzy attribute.

Chapter four focuses on parallel mining of association rules. The first part of this chapter sums up previously proposed parallel algorithms that were successfully experimented. Most of these algorithms have common shortcomings such that they must strongly perform either data communication or synchronization among related processors during the period of mining frequent itemsets. Making the most of distinct features of fuzzy association rules, I suggest a new parallel algorithm for mining this kind of association rules that significantly decrease the amount of data or control information need to be communicated or synchronized. In addition, this algorithm pays attention to load-balancing among processors thanks to an appropriate strategy in dividing the set of candidate itemsets.

Chapter five concludes the dissertation by summing up the achievements throughout the previous four chapters. Furthermore, this chapter reveals several complex issues that solutions to them remain unconvincing. Finally, I will outline the future research topics.
Acknowledgements

My deepest thank must first go to my research advisor, Dr Ha Quang Thuy, who offers me an endless inspiration in scientific research, leading me to this research area. I particularly appreciate his unconditional support and advice in both academic environment and daily life during the last four years.

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Author of master thesis

Phan Xuan Hieu
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# Notations & Abbreviations

<table>
<thead>
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<th>Word or phrase</th>
<th>Abbreviation</th>
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<tbody>
<tr>
<td>Knowledge Discovery in Databases</td>
<td>KDD</td>
</tr>
</tbody>
</table>
Chapter 1. Introduction to *Data Mining*

1.1 *Data Mining*

1.1.1 Motivation: Why Data Mining?

The past two decades has seen a dramatic increase in the amount of information or data being stored in electronic devices (i.e. hard disk, CD-ROM, magnetic tape, etc.). This accumulation of data has taken place at an explosive rate. It has been estimated that the amount of information in the world doubles every two years and the size and number of databases are increasing even faster. Figure 1 illustrates the data explosion [3].

![Figure 1 - The volume of data strongly increases in the past two decades](image)

We are drowning in data, but starving for useful knowledge. The vast amount of accumulated data is actually a valuable resource because information is the vital factor for business operations, and decision-makers could make the most of the data to gain precious insight into the business before making decisions. Data mining, the extraction of hidden predictive information from large databases, is a powerful new technology with great potential to help companies focus on the most significant information in their data collection (databases, data warehouses, data repositories). The automated, prospective analyses offered by data mining go beyond the normal analyses of past events provided by retrospective tools typical of decision support systems. Data mining tools can answer business questions that traditionally were too time-consuming to resolve. This is where data mining & knowledge discovery in databases demonstrates its obvious benefits for today’s
competitive business environment. Nowadays, Data Mining & KDD has been becoming a key role in computer science and knowledge engineering areas.

The initial application of data mining is only in commerce (retail) and finance (stock market). However, data mining is now widely and successfully put into other fields such as bio-informatics, medical treatment, telecommunication, education, etc.

1.1.2 Definition: What is Data Mining?

Before listing some definitions of data mining, I would like to present a small explanation about terminology so that readers could avoid unnecessary confusions. As mentioned above, we can roughly understand that data mining is a process of extracting non-trivial, implicit, previously unknown, and potentially useful knowledge from huge sets of data. Thus, we should name this process is knowledge discovery in database (KDD) instead of data mining. However, most of the researchers agree that the two above terminologies (data mining and KDD) are similar and they can be used interchangeably. They explain for this “humorous” misnomer that the core motivation of KDD is useful knowledge, but the main object they have to deal with is data. Therefore, in a sense, data mining and KDD have the same meaning.

However, data mining is sometimes referred to as one step in the whole KDD process [3] [43].

There are numerous definitions of data mining and they are all descriptive. I would like to restate herein some of them that are widely accepted.

Definition one: William J Frawley, Gregory Piatetsky-Shapiro, and Christopher J Matheus 1991 [43]:

“Knowledge discovery in databases, also known Data mining, is the non-trivial process of identifying valid, novel, potentially useful, and ultimately understandable patterns in data.”

Definition two: Marcel Holshemier và Arno Siebes (1994):

“Data Mining is the search for relationships and global patterns that exist in large databases but are ‘hidden’ among the vast amount of data, such as a
relationship between patient data and their medical diagnosis. These relationships represent valuable knowledge about the database and the objects in the database and, if the database is a faithful mirror, of the real world registered by the database."

1.1.3 Main Steps in Knowledge Discovery in Databases (KDD)

The whole KDD process is usually decomposed into the following steps [3] [14] [23]:

- **Data selection:** selecting or segmenting the necessary data that needs to be mined from large data sets (databases, data warehouses, data repositories) according to some criteria.

- **Data preprocessing:** this is the data clean and reconfiguration stage where some techniques are applied to deal with incomplete, noisy, and inconsistent data. This step also tries to reduce data by using aggregate and group function, data compression methods, histograms, sampling, etc. Furthermore, discretization techniques (bining, histograms, cluster analysis, entropy-based discretization, segmentation) can be used to reduce the number of values for a given continuous attribute by dividing the range of the attribute into separated intervals. After this step, data is clean, complete, uniform, reduced, and discretized.

- **Data transformation:** in this step, data are transformed or consolidated into forms appropriate for mining. Data transformation can involve data smoothing and normalization. After this step, data are ready for the mining step.

- **Data mining:** this is considered to be the most important step in KDD process. It applies some data mining techniques (chiefly borrowing from machine learning and other fields) to discover and extract useful patterns or relationships from data.

- **Knowledge representation and evaluation:** the patterns identified by the system in previous step are interpreted into knowledge which can then be used to support human decision-making (e.g. prediction and classification tasks, summarizing the contents of a database or explaining observed phenomena).
Knowledge representation also converts patterns into user-readable expressions such as trees, graphs, charts & tables, rules, etc.

![Diagram of KDD process]

**Figure 2 - Steps in KDD process**

### 1.1 Major Approaches and Techniques in Data Mining

#### 1.2.1 Major Approaches and Techniques in Data Mining

Data mining consists of many approaches. They can be classified according to functionality, kind of knowledge, type of data to be mined, or whatever appropriate criteria [14]. I describe major approaches bellow:

- **Classification & prediction**: this method tries to arrange a given object into an appropriate class among the others. The number of classes and their name are definitely known. For example, we can classify or anticipate geographic regions according to weather and climate data. This approach normally uses typical techniques and concepts in machine learning such as decision tree, artificial neural network, k-min, support vector machine, etc. Classification is also called supervised learning.

- **Association rules**: this is a relatively simple form of rule e.g. “80 percent of men that purchase beer also purchase dry-beef”. Association rule is now
successfully applied in supermarket (retail), medicine, bio-informatics, finance & stock market, etc.

- Sequential/temporal patterns mining: this method is somewhat similar to association rules except that data and mining results (a kind of rule) always contain a temporal attribute to exhibit the order or sequence in which events or objects effect upon each other. This approach plays a key role in finance and stock market thanks to its capability of prediction.

- Clustering & segmentation: this method tries to arrange a given object into a suited category (also known as cluster). The number of clusters may be dynamic and their labels (names) are unknown. Clustering and segmentation are also called unsupervised learning.

- Concept description & summarization: the main objective of this method is to describe or summarize an object so that the obtained information is compact and condensed. Document or text summarization may be a typical example.

**1.2.2 Kinds of data could be mined?**

Data mining can work on various kinds of data. The most typical data types are as follows:

- Relational databases (managed by relational database management systems such as MS SQL Server, Oracle, DB2, etc).
- Data warehouses & data repositories (including multidimensional data structures such as data cube, etc.)
- Transactional databases (data are organized as set of transactions, e.g. transactions contain items bought by customers in a supermarket).
- Object - relational databases (a hybrid kind of object-oriented model and relational model).
- Spatial, temporal data, and time-series data.
- Multimedia databases (containing data related to diverse type such as text, image, audio, and video, etc).
• Text and Web databases (documents in various formats such as *.doc, *.pdf, *.ps, *.html, etc).

1.2 Application of Data Mining

1.2.1 Application of Data Mining

Although data mining is a relatively new research trend, it is a big attraction of researchers because of its practical applications in many areas. The following should be typical applications:

• Data analysis and decision-marking supports. This application is popular in commerce (retail industry), finance & stock market, etc.

• Medical treatment: finding the potential relevance among symptoms, diagnoses, and treatment methods (nutrient, prescription, surgeon, etc).

• Text and Web mining: document summarization, text retrieval and text searching, text and hypertext classification.

• Bio-informatics: search and compare typical or special genetic information such as genomes and DNA, the implicit relations between a set of genomes and a genetic disease, etc.

• Finance & stock market: examining data to extract predicted information for price of a certain kind of coupon.

• Others (telecommunication, medical insurance, astronomy, anti-terrorism, sports, etc).

1.2.2 Classification of Data Mining Systems

Data mining is a knowledge engineering related field that involves many others research areas such as database, machine learning, artificial intelligence, high performance computing, data & knowledge visualization, etc. We could classify data mining systems according to different criteria as follows:

• Classifying based on kind of data to be mined: data mining systems work with relational databases, data warehouses, transactional databases, object-oriented
databases, spatial and temporal databases, multimedia databases, text and web databases, etc.

- Classifying based on type of mined knowledge: data mining tools that return summarization or description, association rules, classification or prediction, clustering, etc.

- Classifying based on what kind of techniques to be used: data mining tools work as online analytical processing (OLAP) systems, use machine learning techniques (decision tree, artificial neural network, k-min, genetic algorithm, support vector machine, rough set, fuzzy set, etc.), data visualization, etc.

- Classifying based on what fields the data mining systems are applied to: data mining systems are used in different fields such as commerce (retail industry), telecommunication, bio-informatics, medical treatment, finance & stock market, medical insurance, etc.

### 1.3 Focused issues in Data Mining

Data mining is a relatively new research topic. Thus, there are several pending or unconvincingly solved issues. I relate herein some of them that are attracting attention of data mining researchers.

- **OLAM (Online Analytical Mining)** – a smooth combination of databases, data warehouses, and data mining. Nowadays, database management systems like Oracle, MS SQL Server, IBM DB2 have integrated OLAP and data warehouse functionalities to facilitate users in data retrieval and data analyzing. These add-in supports also charge users an additional sum of money. Researchers in these fields hope to go beyond the current limitation by developing multi-purposes OLAM systems that support data transactions for daily business operations as well as data analyzing for making decision [14].

- Data mining systems can mine various forms of knowledge from different types of data [14] [7].

- How to enhance the performance, accuracy, scalability, and integration of data mining systems? How to decrease the computational complexity? How to
improve the ability of dealing with incomplete, inconsistent, and noisy data? Three questions above should still be concentrated in the future [14].

- Taking advantage of background knowledge or knowledge from users (experts or specialists) to upgrade the total performance of data mining systems [7] [1].

- Parallel and distributed data mining is an interesting research trend because it make use of powerful computing systems to reduce response time. This is essential because more and more real-time applications are needed in today’s competitive world [5] [8] [12] [18] [26] [31] [32] [34] [42].

- Data Mining Query Language (DMQI): Researchers in this area try to design a standard query language for data mining. This language will be used in OLAM systems as if SQL are widely used in relational databases [14].

- Knowledge representation and visualization are also taken into consideration to express knowledge in human-readable and easy-to-use forms. Knowledge can be represented in more intuitive expressions due to multidimensional or multilevel data structures.
Chapter 2. Association Rules

2.1 Motivation: Why Association Rules?

Association rule is the form of “70 percent of customers that purchase beer also purchase dry beef, 20 percent of customers purchase both” or “75 percent of patients who smoke cigarettes and live near polluted areas also get lung cancer, 25 percent of patients smoke and live near polluted areas as well as suffer from lung cancer”. “purchase beer” or “smoke cigarettes and live near polluted areas” are antecedents, “purchase dry beef” and “get lung cancer” are called consequents of association rules. 20% and 30% are called support factors (percentage of transactions or records that contain both antecedent and consequent of a rule), 70% and 75% are called confidence factors (percentage of transactions or records that hold the antecedent also hold the consequent of a rule). The following figure pictorially depicts the former example of association rules.

The knowledge and information returning from association rules have an obvious difference in meaning from that of normal queries (usual in SQL syntax). This knowledge contains previously unknown relationships and predictions hidden in massive volumes of data. It not only results from usual group, aggregate or sort operations but also results from a complicated and time-consuming computing process.

Being a simple kind of rule, association rules, however, carry useful knowledge and contribute substantially to decision-making process. Unearthing significant rules from databases is the main motivation of researchers.
2.2 Association Rules Mining – Problem Statement

Let \( I = \{i_1, i_2, \ldots, i_n\} \) be set of \( n \) items or attributes (in transactional or relational databases respectively) and \( T = \{t_1, t_2, \ldots, t_m\} \) be set of \( m \) transactions or records (in transactional or relational databases respectively). Each transaction is identified with its unique TID number. A (transactional) database \( D \) is a binary relation \( \delta \) on the Descart multiplication \( I \times T \) (or also written \( \delta \subseteq I \times T \)). If an item \( i \) occurs in a transaction \( t \), we write \((i, t) \in \delta \) or \( i \delta t \). Generally speaking, a transactional database is a set of transactions, where each transaction \( t \) contains a set of items or \( t \in 2^I \) (where \( 2^I \) is power set of \( I \)) [24] [36].

For example, consider the sample transactional database shown in table 1 with \( I = \{A, C, D, T, W\} \) and \( T = \{1, 2, 3, 4, 5, 6\} \).

<table>
<thead>
<tr>
<th>Transaction ID</th>
<th>Itemset</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>A C T W</td>
</tr>
<tr>
<td>2</td>
<td>C D W</td>
</tr>
<tr>
<td>3</td>
<td>A C T W</td>
</tr>
<tr>
<td>4</td>
<td>A C D W</td>
</tr>
<tr>
<td>5</td>
<td>A C D T W</td>
</tr>
<tr>
<td>6</td>
<td>C D T</td>
</tr>
</tbody>
</table>

Table 1 - An example of transactional databases

\( X \subseteq I \) is called an itemset. The support factor of an itemset \( X \), denoted as \( s(X) \), is the percentage of transactions that contains \( X \). An itemset \( X \) is frequent if its support is greater than or equal to a user-specified minimum support (\( \text{minsup} \)) value, i.e. \( s(X) \geq \text{minsup} \) [36].

The following table enumerates all possible frequent itemsets in sample database in table 1 with \( \text{minsup} \) value is 50%.

<table>
<thead>
<tr>
<th>Frequent itemsets</th>
<th>Support factor</th>
</tr>
</thead>
<tbody>
<tr>
<td>C</td>
<td>100% (6)</td>
</tr>
<tr>
<td>W, CW</td>
<td>83% (5)</td>
</tr>
<tr>
<td>A, D, T, AC, AW, CD, CT, ACW</td>
<td>67% (4)</td>
</tr>
<tr>
<td>AT, DW, TW, ACT, ATW, CDW, CTW, ACTW</td>
<td>50% (3)</td>
</tr>
</tbody>
</table>

Table 2 - Frequent itemsets in sample database in table 1 with support = 50%
Association rule is an implication in the form of $X \rightarrow Y$, where $X$ and $Y$ are frequent itemsets that disjoint, i.e. $X \cap Y = \emptyset$, and $c$, confidence factor of the rule, is the conditional probability that a transaction contains $Y$, given that it contains $X$, i.e. $c = s(X \cup Y) / s(X)$. A rule is confident if its confidence factor is larger or equal to a user-specified minimum confidence ($\text{minconf}$) value, i.e. $c \geq \text{minconf}$ [36].

The association rules mining task can be stated as follows:

Let $D$ be a (transactional) database, $\text{minsup}$ and $\text{minconf}$ are minimum support and minimum confidence respectively. The mining task try to discover all frequent and confident association rules $X \rightarrow Y$, i.e. $s(X \cup Y) \geq \text{minsup}$ and $c(X \rightarrow Y) = s(X \cup Y) / s(X) \geq \text{minconf}$.

Almost all previously proposed algorithms decompose this mining task into two separated phases [4] [5] [20] [24] [34] [35]:

- Phase one: finding all possible frequent itemsets from database $D$. This stage is usually complicated and time-consuming because it needs much time of CPU (CPU-bound) and I/O operations (I/O-bound).

- Phase two: generating confident association rules from discovered frequent itemsets in the previous step. If $X$ is a frequent itemset, confident association rules created from $X$ have the form of $X' \rightarrow X \setminus X'$, where $X'$ is any non-empty subset of $X$ and $X \setminus X'$ is subtraction of $X'$ from $X$. This step is relatively straightforward and much less time-consuming than the step one.

The following table lists all possible association rules generated from the frequent itemset ACW (from database in table 1) with $\text{minconf} = 70\%$.

<table>
<thead>
<tr>
<th>Association rules</th>
<th>Satisfy $\text{minconf} \geq 70%$?</th>
</tr>
</thead>
<tbody>
<tr>
<td>$A \rightarrow CW$</td>
<td>Yes</td>
</tr>
<tr>
<td>$C \rightarrow AW$</td>
<td>No</td>
</tr>
<tr>
<td>$W \rightarrow AC$</td>
<td>Yes</td>
</tr>
<tr>
<td>$AC \rightarrow W$</td>
<td>Yes</td>
</tr>
<tr>
<td>$AW \rightarrow C$</td>
<td>Yes</td>
</tr>
<tr>
<td>$C \rightarrow AW$</td>
<td>Yes</td>
</tr>
</tbody>
</table>

Table 3 - Association rules generated from frequent itemset ACW
2.3 Main Research Trends in Association Rules Mining

Since proposed by R. Agrawal in 1993 [36], the field of association rules mining has developed into various directions thanks to a variety of improvements from researchers. Some of proposals are try to improve the precision and performance, some try to tune the interestingness of rules, etc. I list herein some of dominant trends.

- Mining binary or boolean association rules: this is the initial research direction of association rules. Most of the early mining algorithms are related to this kind of rule [20] [35] [36]. In binary association rules, an item is only determined whether it is present or not. The quantity associated with each item is totally ignored, e.g. a transaction buying twenty bottles of beer is the same as a transaction that buys only one bottle. The most typical algorithms mining binary association rules are Apriori together with its variants (Apriori-Tid and AprioriHybrid) [35]. An example of this type of rule is “buying bread = ‘yes’ AND buying sugar = ‘yes’ => buying milk = ‘yes’ AND buying butter = ‘yes’, with support 20% and confidence 80%.”

- Quantitative and categorical association rules: attributes in databases may be binary (boolean), number (quantitative), or nominal (categorical), etc. To discover association rules that involve these data types, quantitative and categorical attributes need to be discretized to convert into binary ones. There exist some of discretization methods that are proposed in [34] [39]. An example of this kind of rule is “sex = ‘male’ AND age ∈ ’50..65’ AND weight ∈ ’60..80’ AND sugar in blood > 120mg/ml => blood pressure = ‘high’, with support 30% and confidence 65%”.

- Fuzzy association rules: this type of rule was proposed to overcome several drawbacks in quantitative association rules such as “sharp boundary problem” or semantic expression. Fuzzy association rule is more natural and intuitive to users thanks to its “fuzzy” characteristics. An example is “dry cough = ‘yes’ AND high fever AND muscle aches = ‘yes’ AND breathing difficulties = ‘yes’ => get SARS (Severe Acute Respiratory Syndrome) = ‘yes’, with support 4%
and confidence 80%”. **High fever** in the above rule is a fuzzy attribute. We measure the body temperature based on a fuzzy concept.

- Multi-level association rules: all kinds of association rules above are too concrete, so they cannot reflect relationships on general views. Multi-level or generalized association rule is devised to surmount this problem [15] [37]. In approach, we would prefer rule like “buy PC = ‘yes’ => buy operating system = ‘yes’ AND buy office tools = ‘yes’” rather than “buy IBM PC = ‘yes’ => buy Microsoft Windows = ‘yes’ AND buy Microsoft Office = ‘yes’”. Obviously, the former rule is the generalized form of the latter and the latter is the specific form of the former.

- Association rules with weighted items (or attributes): we use weight associated with each item to indicate “the level” that item contributes to the rule. In other words, weights are used to measure the importance of items. For example, while surveying SARS plague within a certain group of people, the information of body temperature and respiratory system is much more essential than that of age. To reflect the difference between the above attributes, we attach greater weight values for body temperature and respiratory system attributes. This is an attractive research direction and solutions to it were presented in several papers [10] [44]. By using weights, we should discover scarce association rules of high interestingness. This means that we can retain rules with small supports but have a special meaning.

- Besides examining of variants of association rules, researchers pay attention to how to accelerate the phase of finding frequent itemsets. Most of recommended algorithms are try to reduce the number of frequent itemsets need to be mined by developing new theories of maximal frequent itemsets [11] (MAFIA algorithm), closed itemsets [13] (CLOSET algorithm), [24] (CHARM algorithm), [30]. These new approaches remarkably decrease mining time owning to their “delicate pruning strategies”. Experiments show that these algorithms outperform known ones like Apriori, AprioriTid, etc.

- Parallel algorithms for association rules mining: in addition to sequential or serial algorithms, parallel algorithms are invented to enhance total performance of mining process by making use of robust parallel systems. The appearance of
parallel and distributed data mining is really reasonable because size of databases increases sharply and real-time applications are widely used in recent years. Numerous parallel algorithms for mining association rules were devised during ten past years in [5] [12] [18] [26] [31] [32] [34]. They are both platform dependent and platform independent.

- Mining association rules in the point of view of rough set theory [41].
- Furthermore, there exist other research trends such as online association rule mining [33] that data mining tools are integrated or directly connected to data warehouses or data repositories based on well-known technologies as OLAP, MOLAP, ROLAP, ADO, etc.
Chapter 3. Fuzzy Association Rules Mining

3.1 Quantitative Association Rules

3.1.1 Association Rules with Quantitative and Categorical Attributes

Mining quantitative and categorical association rules is an important task because of its practical applications on real world databases. This kind of association rules first introduced in [38].

The following table demonstrates a shortened real database about diagnosis of heart disease. Its attributes are represented in different formats including boolean (binary), number (quantitative), or nominal (categorical).

<table>
<thead>
<tr>
<th>Age</th>
<th>Sex</th>
<th>Chest pain type (1, 2, 3, 4)</th>
<th>Serum cholesterol (mg/ml)</th>
<th>Fasting blood sugar (&gt;120mg/ml)</th>
<th>Resting electrocardiographics (0, 1, 2)</th>
<th>Maximum heart rate</th>
<th>Heart disease</th>
</tr>
</thead>
<tbody>
<tr>
<td>60</td>
<td>1 (f)</td>
<td>4</td>
<td>206</td>
<td>0(&lt;120mg/ml)</td>
<td>2</td>
<td>132</td>
<td>2 (yes)</td>
</tr>
<tr>
<td>54</td>
<td>1</td>
<td>4</td>
<td>239</td>
<td>0</td>
<td>0</td>
<td>126</td>
<td>2</td>
</tr>
<tr>
<td>54</td>
<td>1</td>
<td>4</td>
<td>286</td>
<td>0</td>
<td>2</td>
<td>116</td>
<td>2</td>
</tr>
<tr>
<td>52</td>
<td>1</td>
<td>4</td>
<td>255</td>
<td>0</td>
<td>0</td>
<td>161</td>
<td>2</td>
</tr>
<tr>
<td>68</td>
<td>1</td>
<td>3</td>
<td>274</td>
<td>(&gt;120mg/ml)</td>
<td>2</td>
<td>150</td>
<td>2</td>
</tr>
<tr>
<td>54</td>
<td>1</td>
<td>3</td>
<td>273</td>
<td>0</td>
<td>2</td>
<td>152</td>
<td>1 (no)</td>
</tr>
<tr>
<td>54</td>
<td>0 (m)</td>
<td>2</td>
<td>288</td>
<td>1</td>
<td>2</td>
<td>159</td>
<td>1</td>
</tr>
<tr>
<td>67</td>
<td>0</td>
<td>3</td>
<td>277</td>
<td>0</td>
<td>0</td>
<td>172</td>
<td>1</td>
</tr>
<tr>
<td>46</td>
<td>0</td>
<td>2</td>
<td>204</td>
<td>0</td>
<td>0</td>
<td>172</td>
<td>1</td>
</tr>
<tr>
<td>52</td>
<td>1</td>
<td>2</td>
<td>201</td>
<td>0</td>
<td>0</td>
<td>158</td>
<td>1</td>
</tr>
<tr>
<td>40</td>
<td>1</td>
<td>4</td>
<td>167</td>
<td>0</td>
<td>2</td>
<td>114</td>
<td>2</td>
</tr>
<tr>
<td>37</td>
<td>1</td>
<td>3</td>
<td>250</td>
<td>0</td>
<td>0</td>
<td>187</td>
<td>1</td>
</tr>
<tr>
<td>71</td>
<td>0</td>
<td>2</td>
<td>320</td>
<td>0</td>
<td>0</td>
<td>162</td>
<td>1</td>
</tr>
<tr>
<td>74</td>
<td>0</td>
<td>2</td>
<td>269</td>
<td>0</td>
<td>2</td>
<td>121</td>
<td>1</td>
</tr>
<tr>
<td>29</td>
<td>1</td>
<td>2</td>
<td>204</td>
<td>0</td>
<td>2</td>
<td>202</td>
<td>1</td>
</tr>
<tr>
<td>70</td>
<td>1</td>
<td>4</td>
<td>322</td>
<td>0</td>
<td>2</td>
<td>109</td>
<td>2</td>
</tr>
<tr>
<td>67</td>
<td>0</td>
<td>3</td>
<td>544</td>
<td>0</td>
<td>2</td>
<td>160</td>
<td>1</td>
</tr>
</tbody>
</table>

Table 4 - Diagnostic database of heart disease on 17 patients

In the above database, three attributes Age, Serum cholesterol, Maximum heart rate are quantitative, two attributes Chest pain type and resting electrocardiographics are categorical, and all the rest are binary (Sex, Heart disease, Fasting blood sugar). In fact, binary data type is also considered to be a special form of category. From the data in table 4, we can extract such rules as:

\(<\text{Age: 54..74}>\ \&\& \ <\text{Sex: Female}>\ \&\& \ <\text{Cholesterol: 200..300}> \implies <\text{Heart disease: Yes}>\), with support 23.53% and confidence 80%.
<Sex: Male> AND <Resting electrocardiographics: 0> AND <Fasting blood sugar ≤ 120> => <Heart disease: No>, with support 17.65% and confidence 100%.

The approach proposed in [34] discovers this kind of rules by partitioning value ranges of quantitative and categorical attributes into separated intervals to convert them into binary ones. Traditional well-known algorithms such as Apriori [35], CHARM [24], COLSET [20] can then work on these new binary attributes as original problem of mining boolean association rules.

3.1.2 Methods of Data Discretization

Binary association rules mining algorithms [20] [24] [35] [36] only work with relational database containing only binary attributes or transactional databases as shown in table 1. They cannot be applied directly to practical databases as shown in table 4. To conquer this obstacle, quantitative and categorical columns must first be converted into boolean ones [34] [39]. However, there are some limitations in data discretization that influence the quality of discovered rules. The output rules do not satisfy researchers’s expectation. The following section describes major discretization methods to contrast their dis-advantages.

- Let A be a discrete quantitative or categorical attribute with finite value domain \{v_1, v_2, ..., v_k\} and \(k\) is small enough \((k < 100)\). After being discretized, the original attribute is developed into \(k\) new binary attributes named A_V1, A_V2, ..., A_Vk. Value of a record at column A_Vi is equal to True (Yes or 1) if the original value of this record at attribute A is equal to \(v_i\), all the rest cases will set the value of A_Vi to False (No or 0). The attributes Chest pain type and resting electrocardiographics in table 4 belong to this case. After transforming, the initial attribute Chest pain type will be converted into four binary columns Chest_pain_type_1, Chest_pain_type_2, Chest_pain_type_3, Chest_pain_type_4 as shown in the following table.
If A is a continuous and quantitative attribute or a categorical one having value domain \( \{v_1, v_2, ..., v_p\} \) (\( p \) is relatively large), A will be mapped to \( q \) new binary columns in the form of \(<A: \text{start}_i..\text{end}_i>\), \(<A: \text{start}_2..\text{end}_2>\), ..., \(<A: \text{start}_q..\text{end}_q>\). Value of a given record at column \(<A: \text{start}_i..\text{end}_i>\) is True (Yes or 1) if the original value \( v \) at this record of A is between \( \text{start}_i \) and \( \text{end}_i \), \(<A: \text{start}_i..\text{end}_i>\) will receive False (No or 0) value for all other cases of \( v \). The attributes Age, Serum cholesterol, and Maximum heart rate in table 4 belong to this form. Serum cholesterol and Age could be discretized as shown in the two following tables:

<table>
<thead>
<tr>
<th>Serum cholesterol</th>
<th>&lt;Cholesterol: 150..249&gt;</th>
<th>&lt;Cholesterol: 250..349&gt;</th>
<th>&lt;Cholesterol: 350..449&gt;</th>
<th>&lt;Cholesterol: 450..549&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>544</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>206</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>286</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>322</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 6 - Data discretization for "Serum cholesterol" attribute

<table>
<thead>
<tr>
<th>Age</th>
<th>&lt;Age: 1..29&gt;</th>
<th>&lt;Age: 30..59&gt;</th>
<th>&lt;Age: 60..120&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>74</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>29</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>30</td>
<td>1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>59</td>
<td>0</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>60</td>
<td>0</td>
<td>0</td>
<td>1</td>
</tr>
</tbody>
</table>

Table 7 - Data discretization for "Age" attribute

Unfortunately, the mentioned discretization methods encounter some pitfalls such as “sharp boundary problem” [4] [9]. The figure below displays the support distribution of an attribute A having a value range from 1 to 10. Supposing that we divide A into two separated intervals [1..5] and [6..10] respectively. If the \( \text{minsup} \) value is 41%, the range [6..10] will not gain sufficient support. Therefore [6..10] can not satisfy \( \text{minsup} \) (40% < \( \text{minsup} = 41\% \)) even though there is a large support near its left boundary. For example, [4..7] has support 55%, [5..8] has support...
45%. So, this partition results in a “sharp boundary” between 5 and 6, and therefore mining algorithms cannot generate confident rules involving interval [6..10].

Another attribute partitioning method [38] is to divide the attribute domain into overlapped regions. We can see that the boundaries of intervals are overlapped with each other. As a result, the elements located near the boundary will contribute to more than one interval such that some intervals may become interesting in this case. It is, however, not reasonable because total support of all intervals exceeds 100% and we unintentionally overemphasize the importance of values located near boundaries. This is not natural and inconsistent.

Furthermore, partitioning attribute domain into separated ranges results in a problem in rule interpretation. The table 7 shows that two values 29 and 30 belong to different intervals though they are very similar in indicating old level. Also, supposing that the range [1..29] denotes young people, [30..59] for middle-aged people, and [60..120] for old ones, so the age of 59 implies a middle-aged person whereas the age of 60 implies an old person. This is not intuitive and natural in understanding the meaning of quantitative association rules.

Fuzzy association rule was recommended to overcome the above shortcomings [4] [9]. This kind of rule not only successfully improves “sharp boundary problem” but also allows us to express association rules in a more intuitive and friendly format.
For example, the quantitative rule “<Age: 54..74> AND <Sex: Female> AND <Cholesterol: 200..300> => <Heart disease: Yes>” is now replaced by “<Age_Old> AND < Sex: Female> AND <Cholesterol_High > => < Heart disease: Yes>”. Age_Old and Cholesterol_High in the above rule are fuzzy attributes.

3.2 Fuzzy Association Rules

3.2.1 Data Discretization based on Fuzzy Set

In the fuzzy set theory [21] [47], an element can belongs to a set with a membership value in [0, 1]. This value is assigned by the membership function associated with each fuzzy set. For attribute \( x \) and its domain \( D_x \) (also known as universal set), the mapping of the membership function \( m_{f_x} \) associated with fuzzy set \( f_x \) is as follow:

\[
m_{f_x}(x) : D_x \rightarrow [0,1] \quad (3.1)
\]

The fuzzy set provides a smooth change over the boundaries and allows us to express association rules in a more expressive form. Let’s use the fuzzy set in data discretizing to make the most of its benefits.

For the attribute Age and its universal domain [0, 120], we attach with it three fuzzy sets Age_Young, Age_Middle-aged, and Age_Old. The graphic representations of these fuzzy sets are shown in the following figures.

![Figure 5 - Membership functions of "Age_Young", "Age_Middle-aged", and "Age_Old"](image_url)

By using fuzzy set, we completely get rid of “sharp boundary problem” thanks to its own characteristics. For example, the graph in figure 5 indicates that the ages of 59 and 60 have membership values of fuzzy set Age_Old approximately 0.85 and
0.90 respectively. Similarly, the ages of 30 and 29 towards the fuzzy set Age_Young are 0.70 and 0.75. Obviously, this transformation method is much more intuitive and natural than known discretization ones.

Another example, the original attribute Serum cholesterol is decomposed into two new fuzzy attributes Cholesterol_Low and Cholesterol_High. The following figure portrays membership functions of these fuzzy concepts.

If A is a categorical attribute having value domain \{v_1, v_2, ..., v_k\} and \( k \) is relatively small, we fuzzify this attribute by attaching a new fuzzy attribute A_V_i to each value \( v_i \). The value of membership function \( m_{A_{V_i}}(x) \) equals to 1 if \( x = v_i \) and equals to 0 for vice versa. Ultimately thinking, A_V_i is also a normal set because its membership function value is either 0 or 1. If \( k \) is too large, we can fuzzify this attribute by dividing its domain into intervals and attaching a new fuzzy attribute to each partition. However, developers or users should consult experts for necessary knowledge related to current data to achieve an appropriate division.

**Data discretization using fuzzy sets could bring us the following benefits:**

- Firstly, smooth transition of membership functions should help us eliminate the “sharp boundary problem”.
- Data discretization by using fuzzy sets assists us significantly reduce number of new attributes because number of fuzzy sets associated with each original attribute is relatively small comparing to that of an attribute in quantitative association rules. For instance, if we use normal discretization methods over attribute Serum cholesterol, we will obtain five sub-ranges (also five new
attributes) from its original domain \([100, 600]\), whereas we will create only two new attributes \(\text{Cholesterol\_Low}\) and \(\text{Cholesterol\_High}\) by applying fuzzy sets. This advantage is very essential because it allows us to compact the set of candidate itemsets, and therefore shortening the total mining time.

- Fuzzy association rule is more intuitive, and natural than known ones.
- All values of records at new attributes after fuzzifying are in \([0, 1]\). This is to imply the possibility that a given element belongs to a fuzzy set. As a result, this flexible coding brings us an exact method to measure the contribution or impact of each record to overall support of an itemset.
- The next advantage that we will see more clearly in the next section is fuzzified databases still hold “downward closure property” (\(\text{all subsets of a frequent itemset are also frequent, and any superset of a non-frequent itemset will be not frequent}\)) if we have a wise choice for T-norm operator. Thus, conventional algorithms such as Apriori also work well upon fuzzified databases with just slight modifications.
- Another benefit is this data discretization method can be easily applied to both relational and transactional databases.

### 3.2.2 Fuzzy Association Rules

<table>
<thead>
<tr>
<th>Age</th>
<th>Serum cholesterol (mg/ml)</th>
<th>Fasting blood sugar (&gt;120mg/ml)</th>
<th>Heart disease</th>
</tr>
</thead>
<tbody>
<tr>
<td>60</td>
<td>206</td>
<td>0 (&lt;120mg/ml)</td>
<td>2 (yes)</td>
</tr>
<tr>
<td>54</td>
<td>239</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>54</td>
<td>286</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>52</td>
<td>255</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>68</td>
<td>274</td>
<td>1 (&gt;120mg/ml)</td>
<td>2</td>
</tr>
<tr>
<td>54</td>
<td>288</td>
<td>1</td>
<td>1 (no)</td>
</tr>
<tr>
<td>46</td>
<td>204</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>37</td>
<td>250</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>71</td>
<td>320</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>74</td>
<td>269</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>29</td>
<td>204</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>70</td>
<td>322</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>67</td>
<td>544</td>
<td>0</td>
<td>1</td>
</tr>
</tbody>
</table>

*Table 8 - Diagnostic database of heart disease on 13 patients*

Let \(I = \{i_1, i_2, \ldots, i_n\}\) be a set of \(n\) attributes, denoting \(i_u\) is the \(u^{th}\) attribute in \(I\). And \(T = \{t_1, t_2, \ldots, t_m\}\) is a set of \(m\) records, and \(t_v\) is the \(v^{th}\) record in \(T\). The value
of record $t_i$ at attribute $i_u$ can be referred to as $t_i[i_u]$. For instance, in the table 8, the value of $t_5[i_2]$ (also the value of $t_5[Serum\ cholesterol]$) is 274 (mg/ml). Using fuzzification method in the previous section, we associate each attribute $i_u$ with a set of fuzzy sets $F_{i_u}$ as follows:

$$F_{i_u} = \{f_{i_u}^1, f_{i_u}^2, \ldots, f_{i_u}^k\} \quad (3.2)$$

For example, with the database in table 8, we have:

$$F_{i_1} = F_{Age} = \{\text{Age\_Young}, \text{Age\_Middle-aged}, \text{Age\_Old}\} \quad (với\ k = 3)$$

$$F_{i_2} = F_{\text{Serum\_Cholesterol}} = \{\text{Cholesterol\_Low}, \text{Cholesterol\_High}\} \quad (với\ k = 2)$$

A fuzzy association rule [4] [9] is an implication in the form of:

$$X \text{ is } A \Rightarrow Y \text{ is } B \quad (3.3)$$

Where:

- $X, Y \subseteq I$ are itemsets. $X = \{x_1, x_2, \ldots, x_p\}$ ($x_i \neq x_j$ if $i \neq j$) and $Y = \{y_1, y_2, \ldots, y_q\}$ ($y_i \neq y_j$ if $i \neq j$).

- $A = \{f_{x_1}, f_{x_2}, \ldots, f_{x_p}\}, B = \{f_{y_1}, f_{y_2}, \ldots, f_{y_q}\}$ are sets of fuzzy sets corresponding to attributes in $X$ and $Y$. $f_{x_i} \in F_{x_i}$ và $f_{y_j} \in F_{y_j}$.

We can rewrite the fuzzy association rules as two following forms:

$$X = \{x_1, \ldots, x_p\} \text{ is } A = \{f_{x_1}, \ldots, f_{x_p}\} \Rightarrow Y = \{y_1, \ldots, y_q\} \text{ is } B = \{f_{y_1}, \ldots, f_{y_q}\} \quad (3.4)$$

or

$$(x_1 \text{ is } f_{x_1}) \otimes \ldots \otimes (x_p \text{ is } f_{x_p}) \Rightarrow (y_1 \text{ is } f_{y_1}) \otimes \ldots \otimes (y_q \text{ is } f_{y_q}) \quad (3.5)$$

(where $\otimes$ is T-norm operator in fuzzy logic theory)
A fuzzy itemset is now defined as a pair \(<X, A>\), in which \(X (\subseteq I)\) is an itemset and \(A\) is a set of fuzzy sets associated with attributes in \(X\).

The support of a fuzzy itemset \(<X, A>\) is denoted \(fs(<X, A>)\) and determined by the following formula:

\[
fs(<X, A>) = \frac{\sum_{v=1}^{m} \left( \alpha_{x_1} (t_v[x_1]) \otimes \alpha_{x_2} (t_v[x_2]) \otimes \ldots \otimes \alpha_{x_p} (t_v[x_p]) \right)}{|T|} \tag{3.6}
\]

Where:

- \(X = \{x_1, \ldots, x_p\}\) and \(t_v\) is the \(v^{th}\) record in \(T\).
- \(\otimes\) is the T-norm operator in fuzzy logic theory. Its role is similar to that of logic operator AND in traditional logic.
- \(\alpha_{x_u} (t_v[x_u])\) is calculated as follows:

\[
\alpha_{x_u} (t_v[x_u]) = \begin{cases} 
    m_{x_u} (t_v[x_u]) & \text{if } m_{x_u} (t_v[x_u]) \geq w_{x_u} \\
    0 & \text{if vice versa} 
\end{cases} \tag{3.7}
\]

- \(m_{x_u}\) is the membership function of fuzzy set \(f_{x_u}\) associated with \(x_u\), and \(w_{x_u}\) is a threshold of membership function \(m_{x_u}\) and specified by users.
- \(|T|\) (card of \(T\)) is the total number of records in \(T\) (also equal to \(m\)).

A frequent fuzzy itemset: a fuzzy itemset \(<X, A>\) is frequent if its support is greater or equal to a fuzzy minimum support \((f\text{min\textsuperscript{sup}})\) specified by users, i.e.

\[
fs(<X, A>) \geq f\text{min\textsuperscript{sup}} \tag{3.9}
\]

The support of a fuzzy association rule is defined as follows:

\[
fs(<X \text{ is } A \Rightarrow B \text{ is } Y>) = fs(<X \cup Y, A \cup B>) \tag{3.10}
\]
A fuzzy association rule is frequent if its support is larger or equal to \( f_{\text{msup}} \), i.e., \( fs(<X \text{ is } A \Rightarrow B \text{ is } Y>) \geq f_{\text{msup}} \).

Confidence factor of a fuzzy association rule is denoted \( fc(X \text{ is } A \Rightarrow Y \text{ is } B) \) and defined as:

\[
fc(X \text{ is } A \Rightarrow Y \text{ is } B) = \frac{fs(<X \text{ is } A \Rightarrow B \text{ is } Y>)}{fs(<X, A>)}
\]  

(3.11)

A fuzzy association rule is considered frequent if its confidence greater or equal to a fuzzy minimum confidence \( (f_{\text{minconf}}) \) threshold specified by users. This means that the confidence must satisfy the condition below:

\[
fc(X \text{ is } A \Rightarrow Y \text{ is } B) \geq f_{\text{minconf}}.
\]

Toán tử T-norm \((\otimes)\): there are various ways to choose T-norm operator [1] [2] [21] [47] for formula (3.6) such as:

- Min function: \( a \otimes b = \min(a, b) \)
- Normal multiplication: \( a \otimes b = a.b \)
- Limited multiplication: \( a \otimes b = \max(0, a + b - 1) \)
- Drastic multiplication: \( a \otimes b = a \) (if \( b=1 \)), = \( b \) (if \( a=1 \)), = \( 0 \) (if \( a, b < 1 \))
- Yager joint operator: \( a \otimes b = 1 - \min[1, ((1-a)^w + (1-b)^w)^{1/w}] \) (with \( w > 0 \)). If \( w = 1 \), it becomes limited multiplication. If \( w \) runs up to \( +\infty \), it will develops into min function. If \( w \) decreases to 0, it becomes Drastic multiplication.

Based on experiments, we conclude that min function and normal multiplication are the two most preferable choices for T-norm operator because they are convenient to calculate support factors as well as can highlight the logical relations among fuzzy attributes in frequent fuzzy itemsets. The two following formulas (3.12) and (3.13) are derived from formula (3.6) by applying min function and normal multiplication respectively.
Another reason for choosing min function and algebraic multiplication for T-norm operator is related to the question “how we understand the meaning of the implication operator (→ or ⇒) in fuzzy logic theory?”. In the classical logic, the implication operator, used to link two clauses P and Q to form a compound clause P → Q, expresses the idea “if P then Q”. This is a relatively complicated logical link because it is used to represent a cause and effect relation. While formalizing, we, however, consider the truth value of this relation as a regular combination of those of P and Q. This assumption may lead us to a misconception or a misunderstanding of this kind of relation [1].

In fuzzy logic theory, implication operator expresses a compound clause in the form of “if u is P then v is Q”, in which, P and Q are two fuzzy sets on two universal domain U and V respectively. The cause and effect rule “if u is P then v is Q” is equivalent to that the pair (u, v) is a fuzzy set on the universal domain U x V. The fuzzy implication P → Q is considered a fuzzy set and we need to identify its membership function \( m_{P \rightarrow Q} \) from membership functions \( m_P \) and \( m_Q \) of fuzzy sets P and Q. There are various researches around this issue. We relate herein several ways to determine membership function \( m_{P \rightarrow Q} \) [1]:

- If adopting the idea of implication operator in classical logic theory, we have:
  \[
  \forall (u, v) \in U \times V: m_{P \rightarrow Q}(u, v) = \oplus(1 - m_P, m_Q),
  \]
  in which, \( \oplus \) is S-norm operator in fuzzy logic theory. If \( \oplus \) is replaced with max function, we obtain the Dienes formula \( m_{P \rightarrow Q}(u, v) = \max(1 - m_P, m_Q) \). If \( \oplus \) is replaced with probability sum, we receive the Mizumoto formula \( m_{P \rightarrow Q}(u, v) = 1 - m_P + m_P m_Q \). And, if \( \oplus \) is substituted by limited multiplication, we get the Lukaciewicz formula as

\[
fs(<X, A>) = \frac{\sum_{v=1}^{m} \min(\alpha_{x_1}(t_v[x_1]), \alpha_{x_2}(t_v[x_2]), ..., \alpha_{x_p}(t_v[x_p]))}{|T|} \quad (3.12)
\]

\[
fs(<X, A>) = \frac{\sum_{v=1}^{m} \prod_{x_u \in X} \{\alpha_{x_u}(t_v[x_u])\}}{|T|} \quad (3.13)
\]
\( m_{P \rightarrow Q}(u, v) = \min(1, 1 - m_P + m_Q) \). In general, the \( \oplus \) can be substituted by any valid function satisfying certain conditions of S-norm operator.

- Another way to interpret the meaning of this kind of relation is that the truth value of compound clause “if \( u \) is \( P \) then \( v \) is \( Q \)” increases iff the truth values of both antecedent and consequent are large. This means that \( m_{P \rightarrow Q}(u, v) = \ominus(m_P, m_Q) \). If the \( \ominus \) operator is substituted with \( \text{min} \) function, we receive the Mamdani formula \( m_{P \rightarrow Q}(u, v) = \min(m_P, m_Q) \). Similarly, if \( \ominus \) is replaced by normal multiplication, we obtain the formula \( m_{P \rightarrow Q}(u, v) = m_P \cdot m_Q \) [2].

Fuzzy association rule, in a sense, is a form of the fuzzy implication. Thus, it must comply with the above understandings. Although there are many combinations of \( m_P \) and \( m_Q \) to form the \( m_{P \rightarrow Q}(u, v) \), the Mamdani formulas should be the most favorable ones. This is the main reason that influences our choice of \( \text{min} \) function and algebraic multiplication for T-norm operator.

### 3.2.3 Algorithm for Fuzzy Association Rules Mining

The issue of discovering fuzzy association rules is usually decomposed into two following phases:

- **Phase one**: finding all possible frequent fuzzy itemset \(<X, A>\) from the input database, i.e. \( fs(<X, A>) \geq f_{\min sup} \).

- **Phase two**: generating all possible confident fuzzy association rules from the discovered frequent fuzzy itemsets above. This subproblem is relatively straightforward and less time-consuming comparing to the previous step. If \(<X, A>\) is a frequent fuzzy itemset, the rules we receive from \(<X, A>\) has the form of \( X' \) is \( A' \rightarrow X \setminus X' \) is \( A \setminus A' \), in which, \( X' \) and \( A' \) are non-empty subsets of \( X \) and \( A \) respectively. The inverse slash (i.e. \( \setminus \) sign) in the implication denotes the subtraction operator between two sets. \( fc \) is the fuzzy confidence factor of the rule and must meet the condition \( fc \geq f_{\min conf} \).

**The inputs of the algorithm** are a database \( D \) with attribute set \( I \) and record set \( T \), and \( f_{\min sup} \) as well as \( f_{\min conf} \).

**The outputs of the algorithm** are all possible confident fuzzy association rules.
**Notation table:**

<table>
<thead>
<tr>
<th>Notations</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>( D )</td>
<td>A relational or transactional database</td>
</tr>
<tr>
<td>( I )</td>
<td>Attribute set in ( D )</td>
</tr>
<tr>
<td>( T )</td>
<td>Record set in ( D )</td>
</tr>
<tr>
<td>( D_F )</td>
<td>The output database after applying fuzzification over the original database ( D )</td>
</tr>
<tr>
<td>( I_F )</td>
<td>Set of fuzzy attributes in ( D_F ), each of them is attached with a fuzzy set. Each fuzzy set ( f_i ) in turn, has a threshold ( w_f ) as used in formula (3.7)</td>
</tr>
<tr>
<td>( T_F )</td>
<td>Set of records in ( D_F ), value of each record at a given fuzzy attribute is in ([0, 1])</td>
</tr>
<tr>
<td>( C_k )</td>
<td>Set of fuzzy k-itemset candidates</td>
</tr>
<tr>
<td>( F_k )</td>
<td>Set of frequent fuzzy k-itemsets</td>
</tr>
<tr>
<td>( F )</td>
<td>Set of all possible frequent itemsets from database ( D_F )</td>
</tr>
<tr>
<td>( fminsup )</td>
<td>Fuzzy minimum support</td>
</tr>
<tr>
<td>( fminconf )</td>
<td>Fuzzy minimum confidence</td>
</tr>
</tbody>
</table>

Table 9 - Notations used in fuzzy association rules mining algorithm

**The algorithm:**

1. BEGIN
2. \((D_F, I_F, T_F) = \text{FuzzyMaterialization}(D, I, T);\)
3. \(F_1 = \text{Counting}(D_F, I_F, T_F, fminsup);\)
4. \(k = 2;\)
5. while \((F_{k-1} \neq \emptyset)\) {
6. \(C_k = \text{Join}(F_{k-1});\)
7. \(C_k = \text{Prune}(C_k);\)
8. \(F_k = \text{Checking}(C_k, D_F, fminsup);\)
9. \(F = F \cup F_k;\)
10. \(k = k + 1;\)
11. }
12. \(\text{GenerateRules}(F, fminconf);\)
13. END

Table 10 - Algorithm for mining fuzzy association rules

The algorithm in table 10 uses the following sub-programs:

- \((D_F, I_F, T_F) = \text{FuzzyMaterialization}(D, I, T):\) this function is to convert the original database \( D \) into the fuzzified database \( D_F \). Afterwards, \( I \) and \( T \) are also transformed to \( I_F \) and \( T_F \) respectively.
For example, with the database in table 8, after running this function, we will obtain:

\[
I_F = \{ [\text{Age}, \text{Age}_\text{Young}] (1), [\text{Age}, \text{Age}_\text{Middle-aged}] (2), [\text{Age}, \text{Age}_\text{Old}] (3), \\
[\text{Cholesterol}, \text{Cholesterol}_\text{Low}] (4), [\text{Cholesterol}, \text{Cholesterol}_\text{High}] (5), \\
[\text{BloodSugar}, \text{BloodSugar}_0] (6), [\text{BloodSugar}, \text{BloodSugar}_1] (7), \\
[\text{HeartDisease}, \text{HeartDisease}_\text{No}] (8), [\text{HeartDisease}, \text{HeartDisease}_\text{Yes}] (9) \}
\]

After converting, \( I_F \) contains 9 new fuzzy attributes comparing to 4 in \( I \). Each fuzzy attribute is a pair including the name of original attribute and the name of corresponding fuzzy set and surrounded by square brackets. For instance, after fuzzifying the \( \text{Age} \) attribute, we receive three new fuzzy attributes \([\text{Age}, \text{Age}_\text{Young}]\), \([\text{Age}, \text{Age}_\text{Middle-aged}]\), and \([\text{Age}, \text{Age}_\text{Old}]\).

In addition, the function \textbf{FuzzyMaterialization} also converts \( T \) into \( T_F \) as shown in the following table:

<table>
<thead>
<tr>
<th>A</th>
<th>1</th>
<th>2</th>
<th>3</th>
<th>C</th>
<th>4</th>
<th>5</th>
<th>S</th>
<th>6</th>
<th>7</th>
<th>H</th>
<th>8</th>
<th>9</th>
</tr>
</thead>
<tbody>
<tr>
<td>60</td>
<td>0.00</td>
<td>0.41</td>
<td>0.92</td>
<td>206</td>
<td>0.60</td>
<td>0.40</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>54</td>
<td>0.20</td>
<td>0.75</td>
<td>0.83</td>
<td>239</td>
<td>0.56</td>
<td>0.44</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>54</td>
<td>0.20</td>
<td>0.75</td>
<td>0.83</td>
<td>286</td>
<td>0.52</td>
<td>0.48</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>52</td>
<td>0.29</td>
<td>0.82</td>
<td>0.78</td>
<td>255</td>
<td>0.54</td>
<td>0.46</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>68</td>
<td>0.00</td>
<td>0.32</td>
<td>1.00</td>
<td>274</td>
<td>0.53</td>
<td>0.47</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>54</td>
<td>0.20</td>
<td>0.75</td>
<td>0.83</td>
<td>288</td>
<td>0.51</td>
<td>0.49</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>46</td>
<td>0.44</td>
<td>0.97</td>
<td>0.67</td>
<td>204</td>
<td>0.62</td>
<td>0.38</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>37</td>
<td>0.59</td>
<td>0.93</td>
<td>0.31</td>
<td>250</td>
<td>0.54</td>
<td>0.46</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>71</td>
<td>0.00</td>
<td>0.28</td>
<td>1.00</td>
<td>320</td>
<td>0.43</td>
<td>0.57</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>74</td>
<td>0.00</td>
<td>0.25</td>
<td>1.00</td>
<td>269</td>
<td>0.53</td>
<td>0.47</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>29</td>
<td>0.71</td>
<td>0.82</td>
<td>0.25</td>
<td>204</td>
<td>0.62</td>
<td>0.38</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>70</td>
<td>0.00</td>
<td>0.28</td>
<td>1.00</td>
<td>322</td>
<td>0.43</td>
<td>0.57</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>67</td>
<td>0.00</td>
<td>0.32</td>
<td>1.00</td>
<td>544</td>
<td>0.00</td>
<td>1.00</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 11 - \( T_F \): Values of records at attributes after fuzzifying

Note that the characters \( A, C, S, \) and \( H \) in the table 11 are all the first character of \( \text{Age}, \text{Cholesterol}, \text{Sugar}, \) and \( \text{Heart} \) respectively.

Each fuzzy set \( f \) is accompanied by a threshold \( w_f \), so only values that greater or equal to that threshold are taken into consideration. All other values are considered as 0. All gray cells in the table 11 indicates that theirs values are larger or equal to threshold (all thresholds in table 11 are 0.5). All values located in white-ground cells are equal to 0.
• \( F_1 = \text{Counting}(D_F, I_F, T_F, f\text{minsup}) \): this function is to generate \( F_1 \), that is set of all frequent fuzzy 1-itemsets. All elements in \( F_1 \) must have supports greater or equal to \( f\text{minsup} \). For instance, if applying the normal multiplication for T-norm (\( \otimes \)) operator in formula (3.6) and \( f\text{minsup} \) with 46%, we achieve the \( F_1 \) that looks like the following table:

<table>
<thead>
<tr>
<th>Fuzzy 1-itemsets</th>
<th>Support</th>
<th>Is it frequent?</th>
</tr>
</thead>
<tbody>
<tr>
<td>{[Age, Age_Young]} (1)</td>
<td>10 %</td>
<td>No</td>
</tr>
<tr>
<td>{[Age, Age_Middle-aged]} (2)</td>
<td>45 %</td>
<td>No</td>
</tr>
<tr>
<td>{[Age, Age_Old]} (3)</td>
<td>76 %</td>
<td>Yes</td>
</tr>
<tr>
<td>{[Serum cholesterol, Cholesterol_Low]} (4)</td>
<td>43 %</td>
<td>No</td>
</tr>
<tr>
<td>{[Serum cholesterol, Cholesterol_High]} (5)</td>
<td>16 %</td>
<td>No</td>
</tr>
<tr>
<td>{[BloodSugar, BloodSugar_0]} (6)</td>
<td>85 %</td>
<td>Yes</td>
</tr>
<tr>
<td>{[BloodSugar, BloodSugar_1]} (7)</td>
<td>15 %</td>
<td>No</td>
</tr>
<tr>
<td>{[HeartDisease, HeartDisease_No]} (8)</td>
<td>54 %</td>
<td>Yes</td>
</tr>
<tr>
<td>{[HeartDisease, HeartDisease_Yes]} (9)</td>
<td>46 %</td>
<td>Yes</td>
</tr>
</tbody>
</table>

Table 12 - \( C_1 \): set of candidate 1-itemsets

\[ F_1 = \{\{3\}, \{6\}, \{8\}, \{9\}\} \]

• \( C_k = \text{Join}(F_{k-1}) \): this function is to produce the set of all fuzzy candidate k-itemsets (\( C_k \)) based on the set of frequent fuzzy (k-1)-itemsets (\( F_{k-1} \)) discovered in the previous step. The following SQL statement indicates how elements in \( F_{k-1} \) are combined to form candidate k-itemsets.

\[
\text{INSERT INTO } C_k \\
\text{SELECT p.i_1, p.i_2, ..., p.i_{k-1}, q.i_{k-1}} \\
\text{FROM L_{k-1} p, L_{k-1} q} \\
\text{WHERE p.i_1 = q.i_1, ..., p.i_{k-2} = q.i_{k-2}, p.i_{k-1} < q.i_{k-1} \text{ AND } p.i_{k-1}.o \neq q.i_{k-1}.o;}
\]

In which, \( p.i_i \) and \( q.i_i \) are index number of \( i^{th} \) fuzzy attributes in itemsets \( p \) and \( q \) respectively. \( p.i_i.o \) and \( q.i_i.o \) are the index number of original attribute. Two fuzzy attributes sharing a common original attribute must not exist in the same fuzzy itemset. For example, after running the above SQL command, we obtain \( C_2 = \{\{3, 6\}, \{3, 8\}, \{3, 9\}, \{6, 8\}, \{6, 9\}\} \). The 2-itemset \( \{8, 9\} \) is invalid because its two fuzzy attributes are derived from a common attribute \( \text{HeartDisease} \).
• \( C_k = \text{Prune}(C_k) \): this function helps us to prune any unnecessary candidate k-itemset in \( C_k \) thanks to the downward closure property “all subsets of a frequent itemset are also frequent, and any superset of a non-frequent itemset will be not frequent”. To evaluate the usefulness of any k-itemset in \( C_k \), the \text{Prune} function must make sure that all (k-1)-subsets of \( C_k \) are present in \( F_{k-1} \).

For instance, after pruning the \( C_2 = \{\{3, 6\}, \{3, 8\}, \{3, 9\}, \{6, 8\}, \{6, 9\}\} \).

• \( F_k = \text{Checking}(C_k, D_F, f_{\text{minsup}}) \): this function first scans over the whole transactions in databases to update support factors for candidate itemsets in \( C_k \). Afterwards, \text{Checking} eliminates any infrequent candidate itemset, i.e. whose support is smaller than \( f_{\text{minsup}} \). All frequent itemsets are retained and put into \( F_k \).

After running \( F_2 = \text{Checking}(C_2, D_F, 46\%) \), we receive \( F_2 = \{\{3\}, \{6\}, \{8\}, \{9\}, \{3,6\}, \{6,8\}\} \). The following table displays the detailed information.

<table>
<thead>
<tr>
<th>Candidate 2-itemset</th>
<th>Support factor</th>
<th>Is it frequent?</th>
</tr>
</thead>
<tbody>
<tr>
<td>{3, 6}</td>
<td>62%</td>
<td>Yes</td>
</tr>
<tr>
<td>{3, 8}</td>
<td>35%</td>
<td>No</td>
</tr>
<tr>
<td>{3, 9}</td>
<td>41%</td>
<td>No</td>
</tr>
<tr>
<td>{6, 8}</td>
<td>46%</td>
<td>Yes</td>
</tr>
<tr>
<td>{6, 9}</td>
<td>38%</td>
<td>No</td>
</tr>
</tbody>
</table>

Table 13 - \( F_2 \): set of frequent 2-itemsets

• \text{GenerateRules}(F, f_{\text{minconf}}): this function generates all possible confident fuzzy association rules from the set of all frequent fuzzy itemsets \( F \).

With the above example, after finishing the first phase (finding all possible frequent itemsets), we get \( F = F_1 \cup F_2 = \{\{3\}, \{6\}, \{8\}, \{9\}, \{3,6\}, \{6,8\}\} \) (\( F_3 \) is not created because \( C_3 \) is empty). The following table lists discovered fuzzy association rules.
<table>
<thead>
<tr>
<th>No.</th>
<th>Fuzzy association rules or 1-itemsets</th>
<th>Support</th>
<th>Confidence</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Old people</td>
<td>76 %</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Blood sugar ≤ 120 mg/ml</td>
<td>85 %</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Not suffer from heart disease</td>
<td>54 %</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Suffer from heart disease</td>
<td>46 %</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Old people =&gt; Blood sugar ≤ 120 mg/ml</td>
<td>62 %</td>
<td>82 %</td>
</tr>
<tr>
<td>6</td>
<td>Blood sugar ≤ 120 mg/ml =&gt; Old people</td>
<td>62 %</td>
<td>73 %</td>
</tr>
<tr>
<td>7</td>
<td>Blood sugar ≤ 120 mg/ml =&gt; Not suffer from heart disease</td>
<td>46 %</td>
<td>54 %</td>
</tr>
<tr>
<td>8</td>
<td>Not suffer from heart disease =&gt; Blood sugar ≤ 120 mg/ml</td>
<td>46 %</td>
<td>85 %</td>
</tr>
</tbody>
</table>

Table 14 - Fuzzy association rules generated from database in table 8

The minimum confidence is 70%, so the 7th rule is rejected.

3.2.4 Relation between Fuzzy Association Rules and Quantitative Association Rules

According to the formula (3.7), the membership function of each fuzzy set \( f \) is attached with a threshold \( w_f \). Based on this threshold, we can defuzzify to convert association rule into another form similar to quantitative one.

For example, the fuzzy rule “Old people => Blood sugar ≤ 120 mg/ml, with support 62% and confidence 82%” in the table 14 should be changed to the rule “Age ≥ 46 => Blood sugar ≤ 120 mg/ml, with support 62% and confidence 82%”. We see the minimum value of attribute \([\text{Age}, \text{Age\_Old}]\) that greater or equal to \( w_{\text{Age\_Old}} (= 0.5) \) is 0.67. The age corresponding to the fuzzy value 0.67 is 46, so any person whose age larger or equal to 46 will have fuzzy value greater or equal to 0.67. Therefore, we substitute “\( \text{Age\_Old} \)” by “\( \text{Age} ≥ 46 \)”. Similarly, we can change any fuzzy association rule to quantitative one.

Because almost all fuzzy membership functions have smooth graph or their derivatives change the sign (from positive to negative and vice versa), the defuzzification is relatively simple.

3.2.5 Experiments and Conclusions

- Experiments by varying the database size (increase or decrease the number of records) to measure the mining time.
- Experiments by changing the \( f\text{minsup} \) and \( f\text{minconf} \)
• Experiments in changing the thresholds associated with fuzzy sets.
• Experiments by changing the choice of T-norm operator (min function and normal multiplication)
• Experiment the transformation from fuzzy association rules to quantitative ones.
Chapter 4. Parallel Mining of Fuzzy Association Rules

One of the most essential and time-consuming tasks in association rules mining is finding all possible frequent itemsets from immense volumes of data. It needs much CPU time (CPU-bound) and I/O operation (I/O-bound). Thus, researchers have been trying their best to improve the existing algorithms or devise new ones in order to speed up the whole mining process [11] [13] [20] [24] [30] [35]. Most of these algorithms are sequential and work efficiently upon small or medium databases (the sizes of databases are recognized based on their number of attributes and records). However, they lose their performance and expose some disadvantages while working with extremely large databases (usually hundreds of megabytes or more) due to the limitations in the processor’s speed as well as the capacity of internal memory of a single computer.

Fortunately, with the explosive development in hardware industry, high performance computing systems are introduced to the market. This has opened up an opportunity for a new research direction in data mining community. Since 1995, researchers continually devise efficient parallel and distributed algorithms for the issue of association rules mining [5] [12] [18] [26] [31] [32] [34]. These algorithms are diverse because of their tight dependences upon architectures of various parallel computing systems.

In the first section of this chapter, I coarsely present previous parallel algorithms that are successfully experimented on real databases. In the next section, I would like to recommend a novel parallel algorithm for mining fuzzy association rules. It has been experimented on a Linux-based PC-Cluster system using Message Passing Interface standard (MPI) [27] [28] [29] and returns optimistic results. This algorithm is relatively optimal because it strongly reduces the data communication and synchronization among processors. However, it can only mine the fuzzy or quantitative association rules as well as suite for relational rather than transactional databases.
4.1 Several Previously Proposed Parallel Algorithms

In this section, I would like to restate some existing parallel algorithms. They are all designed to run on shared-nothing parallel systems that have the following characteristics:

- A shared-nothing system has $N$ processors. Each processor $P_i$ has its own internal (RAM) and external (hard disk) memory.
- $N$ processors can communicate with each other thanks to a high-speed network using message passing mechanism.

4.1.1 Count Distribution Algorithm

The Count Distribution approach proposed in [34] is an Apriori-like algorithm [35]. In this algorithm, $N$ denotes the number of processors, $P_i$ stand for $i^{th}$ processor, and $D_i$ is the data partition for processor $P_i$ (the original database $D$ is divided into $N$ equal partitions, each of them is placed on the hard disk of a processor. The algorithm consists of the following steps:

- (1) Pass one ($k = 1$): the first pass is special. All processors in the system will receive the set of frequent 1-itemsets, i.e. $L_1$.
- (2) Pass two: for all $k > 1$, the algorithm repeatedly perform the following steps:
  - (2.1): Each processor $P_i$ generates the complete $C_k$, using the complete set of frequent itemsets $L_{k-1}$ created at the pass $k-1$. Observe that since each processor has the identical $L_{k-1}$, they will be generating identical $C_k$.
  - (2.2): Processor $P_i$ makes a pass over its data partition $D_i$ and develops local support counts for candidate itemsets in $C_k$. This is the parallel step. This means that all processors can independently scan over its own data partition.
(2.3): Processor $P_i$ exchanges local $C_k$ support counts with all other processors to develop the global $C_k$ support counts. Processors are forced to synchronize in this step.

(2.4): Each processor $P_i$ now computes $L_k$ from $C_k$ based on $\text{minsup}$ parameter.

(2.5): Each processor $P_i$ independently makes the decision to terminate or continue the next loop. The decision will be identical as the processors all have identical $L_k$.

The figure below illustrates the Count Distribution algorithm.

![Figure 7 - Count distribution algorithm on a 3-processor parallel system](image)

**4.1.2 Data Distribution Algorithm**

The attractive feature of the Count Distribution algorithm is that no data tuples are exchanged between processors – only support counts are exchanged. Thus, processors can operate independently and asynchronously while reading the data. However, the disadvantage is that this algorithm does not exploit the aggregate memory of the system effectively. Suppose that each processor has memory of size $|M|$. The number of candidate itemsets that can be counted in one pass is determined by $|M|$. As we increase the number of processors from 1 to $N$, the
system has $N \times |M|$ total memory, but we still count the same number of candidate itemsets in one pass, as each processor is counting for identical $C_k$.

The Data distribution algorithm is designed to exploit better total system’s memory as the number of processors is increased. In this algorithm, each processor counts mutually exclusive candidate itemsets. Thus, as the number of processors is increased, a larger number of candidate itemsets can be counted in a pass. The downside of this algorithm is that every processor must broadcast its local data to all other processors in every pass. Therefore, this algorithm can become feasible only on a machine with very fast and stable communication.

The Data distribution is also based on the Apriori. In this algorithm, $N$ denotes the number of processors, $P^i$ is the $i^{th}$ processor, and $D^i$ is the data partition for processor $P^i$ (the original database $D$ is divided into $N$ equal partitions, each of them is placed on the hard disk of a processor. The algorithm includes the following steps:

- (1) Pass one ($k = 1$): The same Count distribution algorithm.
- (2) Pass two (for every $k > 1$):
  
  o (2.1): Processor $P^i$ generates $C_k$ from $L_{k-1}$. It retains only $1/N$th of the itemsets forming the candidate subset $C_k^i$ that it will count. Which $1/N$ itemsets are retained is determined by the processor identifier and can be computed without communicating with other processors. In real implementation, itemsets are assigned in a round-robin fashion. The $C_k^i$ sets are all disjoint and union of all $C_k^i$ sets is the original $C_k$ (i.e. $C_k^1 \cap C_k^j = \emptyset$ (where $i \neq j$) and $\bigcup_{i=1}^N C_k^i = C_k$).
  
  o (2.2): Processor $P^i$ develops support counts for itemsets in its local candidate set $C_k^i$ using both local data pages and data page received from other processors.
  
  o (2.3): At the end of the pass over the data, each processor $P^i$ calculates $L_k^i$ using the local $C_k^i$. Again, all $L_k^i$ sets are disjoint and
the union of all $L_k^i$ sets is $L_k$ (i.e. $L_k^i \cap L_k^j = \emptyset$ where $i \neq j$ and $\bigcup_{i=1}^{N} L_k^i = L_k$).

- (2.4): Processors exchanges $L_k^i$ so that every processor has the complete $L_k$ for generating $C_{k+1}$ for the next pass. This step requires processors to synchronize. Having obtained the complete $L_k$, each processor can independently (but identically) decide whether to terminate or continue on to the next loop.

The following figure depicts this algorithm:

![Figure 8 - Data distribution algorithm on a 3-processor parallel system](image)

**4.1.3 Candidate Distribution Algorithm**

Both Count and Data distribution algorithms require processors to synchronize at the end of a pass to exchange support counts or frequent itemsets respectively. If the workload is not perfectly balanced, this can cause all the processors to wait for whichever processor finishes last in every pass. The Candidate distribution algorithm attempts to do away with the dependence between processors so that they may proceed independently without synchronizing at the end of every pass.

In some pass $l$, where $l$ is heuristically determined, this algorithm divides the frequent itemsets $L_{k-1}$ between processors in such a way that a processor $P^i$ can
generate a unique \(C_m^i\) \((m \geq l)\) independent of all other processors \((C_m^i \cap C_m^j = \emptyset, \forall i \neq j)\). At the same time, data is selectively replicated so that a processor can count candidates in \(C_m^i\) independent of all other processors. This choice of the redistribution pass is a tradeoff between decoupling processor dependence as soon as possible and waiting until the itemsets become more easily and equitably partitionable. The partitioning algorithm exploits the semantics of the Apriori candidate generation procedure described before.

After this candidate distribution, the only dependence that a processor has on other processors is for pruning the local candidate set during the prune step of candidate generation. However, a processor does not wait for the complete pruning information to arrive from all other processors. During the prune step of candidate generation, it prunes the candidate set as much as possible using whatever information has arrived, and opportunistically starts counting the candidates. The late arriving pruning information can be used in subsequent passes. The algorithm is described below:

- (1) Pass one \((k < l)\): Use either Count or Data distribution algorithm.
- (2) Pass two \((k = l)\):
  - (2.1): Partition \(L_{k-1}\) among the \(N\) processors such that \(L_{k-1}\) sets are “well balanced”. We discuss below how this partitioning is done. Record with each frequent itemset in \(L_{k-1}\) which processor has been assigned this itemset. This partitioning is identically done in parallel by each processor.
  - (2.2): Processor \(P^i\) produces \(C_k^i\), logically using only the \(L_{k-1}\) partition assigned to it. Note that \(P^i\) still has access to the complete \(L_{k-1}\), and hence can use standard pruning while generating \(C_k^i\) in this pass.
  - (2.3): \(P^i\) develops global counts for candidates in \(C_k^i\) and the database is repartitioned into \(DR^i\) at the same time. The details of this step are given below.
  - (2.4): After \(P^i\) has processed all its local data and any data received from all other processors, it posts \(N-1\) asynchronous receive buffers
to receive $L_k^i$ from all other processors. These $L_k^i$ are needed for pruning $C_{k+1}^i$ in the prune step of candidate generation.

- (2.5): Processor $P_i$ computes $L_k^i$ from $C_k^i$ and asynchronously broadcast it to the other $N$-1 processors using $N$-1 asynchronous sends.

- (3) Pass ($k > l$):
  - (3.1): Processor $P_i$ collects all frequent itemsets that have sent to it by other processors. They are used in the pruning step of the candidate generation, but not the join step. Itemsets received from processor $j$ could be of length $k$-1, smaller than $k$-1 (slower processor), or greater than $k$-1 (faster processor). $P_i$ keeps track for each processor $P_j$ the largest size of the frequent itemsets sent by it. Receive buffers for the frequent itemsets are reposted after processing.
  - (3.2): $P_i$ creates $C_k^i$ using the local $L_{k-1}^i$. Now it can happen that $P_i$ has not received $L_{k-1}^j$ from all other processors, so $P_i$ needs to be careful at the time of pruning. It needs to distinguish an itemset (a $k$-1 long subset of a candidate itemset) which is not present in any of $L_{k-1}^j$ from an itemset that is present in some $L_{k-1}^j$ but this set has not yet been received by processor $P_i$. It does so by probing $L_{l-1}$ (remember that repartitioning took place in pass $l$) using the $l$-1 long prefix of the itemset in question, finding the processor responsible for it, and checking if $L_{k-1}^i$ has been received from this processor.
  - (3.3): $P_i$ makes a pass over $DR^i$ and counts $C_k^i$. It then computes $L_k^i$ and asynchronously broadcast $L_k^i$ to every other processor using $N$-1 asynchronous sends.

**Partitioning:** We motivate the algorithm for partitioning $L_k$ by an example. Let $L_3$ be \{ABC, ABD, ABE, ACD, ACE, BCD, BCE, BDE, CDE\}. Then $L_4$ = \{ABCD, ABCE, ABDE, ACDE, BCDE\}, $L_5$ = \{ABCDE\} và $L_6$ = $\emptyset$. Consider $\epsilon$ = \{ABC, ABD, ABE\} whose members all have the common prefix AB. Not that the candidates ABCD, ABCE, ABDE, and ABCDE also have the prefix AB. The
Apriori candidate generation procedure generates these candidates by joining only the items in \( \varepsilon \).

Therefore, assuming that the items in the itemsets are lexicographically ordered, we can partition the itemsets in \( L_k \) based on common \( k-1 \) long prefixes. By ensuring that no partition is assigned to more than one processor, we have ensured that each processor can create candidates independently (ignoring the prune step). Suppose we also repartition the database in such a way that any tuple that supports an itemset contained in any of the \( L_k \) partitions assigned to a processor is copied to the local disk of that processor. The processor can then proceed entire synchronization.

The actual algorithm is more involved because of two reasons. A processor may have to obtain frequent itemsets computed by other processors for the prune step of the candidate generation. In the example above, the processor assigned the set \( \varepsilon \) has to know whether BCDE is frequent to be able to decide whether to prune the candidate ABCDE, but the set with prefix BC may have been assigned to a different processor. The other problem is that we need to balance load across processors.

**4.1.4 Algorithm for Parallel Generation of Association Rules**

To generate rules, for every large itemset \( l \), we find all non-empty subsets of \( l \). For every such subset \( a \), we output a rule of the form \( a \Rightarrow (l - a) \) if the ratio of \( s(l) \) to \( s(a) \) is at least \( minconf \). We consider all subsets of \( l \) to generate rules with multiple consequents. Since the large itemsets are store in hash tables, the support counts for the subset itemsets can be found efficiently.

We can improve the above procedure by generating the subsets of a large itemset in a recursive depth-first fashion. For example, given an itemset ABDC, we first consider the subset ABC, then AB, etc. Then if a subset \( a \) of a large itemset \( l \) does not generate a rule, the subsets of \( a \) need not be considered for generating rules using \( l \). For example, if ABC \( \Rightarrow \) D does not have adequate confidence, we need not check whether AB \( \Rightarrow \) CD holds. We do not miss any rules because the support of any subset \( a' \) of \( a \) must be as great as the support of \( a \). Therefore, the confidence of the rule \( a' \Rightarrow (l - a') \) cannot be more than the confidence of \( a \Rightarrow (l \)
– \(a\)). Hence, if \(a\) did not yield a rule involving all the items in \(l\) with \(a\) as the antecedent, neither will \(a'\).

The sequential algorithm [35] for generating association rules is shown in the table below:

```
// Simple algorithm
Forall frequent itemset \(l_k, k > 1\) do
    Call gen_rules\((l_k, l_k)\);

// The gen_rules generates all valid rules \(\alpha \Rightarrow (l - \alpha)\), for all \(\alpha \subseteq a_m\)
Procedure gen_rules\((l_k :\) frequent \(k\)-itemset, \(a_m :\) frequent \(m\)-itemset) Begin
1     \(A = \{(m-1)\text{-itemsets } a_{m-1} | a_{m-1} \subseteq a_m\}\)
2     Forall \(a_{m-1} \in A\) do begin
3         \(\text{conf} = s(l_k)/s(a_{m-1})\);
4         if \((\text{conf} \geq \text{minconf})\) then begin
5             output the rule \(a_{m-1} \Rightarrow (l_k - a_{m-1})\);
6             if \((m - 1 > 1)\) then
7                 Call gen_rules\((l_k, a_{m-1})\);
8         end
9     end
End
```

Table 15 - The sequential algorithm for generating association rules

Generating rules in parallel simply involves partitioning the set of all frequent itemsets among the processors. Each processor then generates rules for its partition only using the algorithm above. Since the number of rules that can be generated from an itemset is sensitive to the itemset’s size, we attempt equitable balancing by partitioning the itemsets of each length equally across the processors.

Note that in the calculation of the confidence of a rule, a processor may need to examine the support of an itemset for which it is not responsible. For this reason, each processor must have access to all the frequent itemsets before rule generation can begin. This is not a problem for the Count and Data distribution algorithms because at the end of the last pass, all the processors have all the frequent itemsets. In the Candidate distribution algorithm, fast processors may need to wait until slower processors have discovered and transmitted all of their frequent itemsets. For this reason and because the rule generation step is relatively cheap, it may be better in the Candidate distribution algorithm to simply discover the frequent
itemsets and generate the rules off-line, possibly on a serial processor. This would allow processors to be freed to run other jobs as soon as they are done finding frequent itemsets, even while other processors in the system are still working.

### 4.1.5 Other Parallel Algorithms

In addition to four above algorithms, we also list herein some of other parallel algorithms for mining association rules.

The Intelligent Data Distribution algorithm [12] was proposed based on the normal data distribution with an additional improvement in data communication among processors. Instead of data transmission between every pair of processors, this algorithm transmit data based on a logical ring set up at the startup time of the algorithm.

The Multiple Local Frequent Pattern Tree (MLFPT) [31] was derived from the FP-growth algorithm. This algorithm needs no candidate generation and can reduce the number of passes over the database. Furthermore, it investigates the load balancing among processors.

Another parallel algorithm working on shared-everything systems (normally SMP systems) was proposed by [26].

### 4.2 A New Parallel Algorithm for Fuzzy Association Rules Mining

Almost all known parallel algorithms, more or less, need the data communication and synchronization among processors. This leads to an additional complexity in real implementations of these algorithms. Hence, they are not considered to be “ideal” parallel computing problems. Based on the approach in fuzzy association rules mentioned above, I would like to suggest a new parallel algorithm for mining this kind of rule. It is ideal that little communication needs to be taken place during the processing time. Data communication is made only twice - one at the startup for dividing and delivering fuzzy attributes among processors, and one for rules gathering as the algorithm finishes.
4.2.1 Our Approach

According to the fuzzy association rules mining algorithm mentioned in chapter three, each attribute $i_u$ in $I$ is associated with a set of fuzzy sets $F_{i_u}$ as follows:

$$F_{i_u} = \{ f_{i_u}^1, f_{i_u}^2, \ldots, f_{i_u}^k \}$$

For example, in the sample database in table 8, we have:

$$F_{i_1} = F_{Age} = \{ Age\_Young, Age\_Middle-aged, Age\_Old \} \text{ (with } k = 3 \)$$

$$F_{i_2} = F_{Serum\_cholesterol} = \{ Cholesterol\_Low, Cholesterol\_High \} \text{ (with } k = 2 \)$$

The fuzzy association rule has the form of:

$$X \text{ is } A \Rightarrow Y \text{ is } B.$$ 

Where:

- $X, Y \subseteq I$ are itemsets. $X = \{x_1, x_2, \ldots, x_p\}$ ($x_i \neq x_j$ if $i \neq j$) and $Y = \{y_1, y_2, \ldots, y_q\}$ ($y_i \neq y_j$ if $i \neq j$).
- $A = \{f_{x_1}, f_{x_2}, \ldots, f_{x_p}\}$, $B = \{f_{y_1}, f_{y_2}, \ldots, f_{y_q}\}$ are sets of fuzzy sets corresponding to attributes in $X$ and $Y$. $f_{x_i} \in F_{x_i}$ và $f_{y_j} \in F_{y_j}$.

Each fuzzy attribute is a pair of attribute name accompanied by fuzzy set name. For instance, with $I = \{Age, Serum\_Cholesterol, Blood\_Sugar, Heart\_Disease\}$, we now have the set of fuzzy attributes $I_F$ as:

$$I_F = \{ [Age, Age\_Young] (1), [Age, Age\_Middle-aged] (2), [Age, Age\_Old] (3), [Cholesterol, Cholesterol\_Low] (4), [Cholesterol, Cholesterol\_High] (5), [Blood\_Sugar, Blood\_Sugar\_0] (6), [Blood\_Sugar, Blood\_Sugar\_1] (7), [Heart\_Disease, Heart\_Disease\_No] (8), [Heart\_Disease, Heart\_Disease\_Yes] (9) \}$$

Table 16 - Set of fuzzy attributes received after being fuzzified the database in table 8

After being fuzzified, $I_F$ consists of 9 fuzzy attributes comparing to 4 in $I$. All values in at fuzzy attributes in record set $T_F$ is now belonging to the range $[0, 1]$. The objective of the mining issue is to discover all possible confident fuzzy association rules.
We totally perceive that any fuzzy association rule (both antecedent and consequent) never contains two fuzzy attributes that share a common original attribute in I. For example, the rules such “Age_Old AND Cholesterol_High And Age_Young => HeartDisease_Yes” or “BloodSugar > 120mg/ml AND HeartDisease_No => HeartDisease_Yes” are invalid because the former contains both Age_Old and Age_Young (derived from Age), and the latter encompasses HeartDisease_No as well as HeartDisease_Yes (derived from HeartDisease).

There are two chief reasons for the above supposition. First, fuzzy attributes sharing a common original attribute are usually mutually exclusive in meaning so that they will largely decrease the support of rules in which they are contained together. For example, the Age_Old is opposite in semantics with Age_Young because no person in the world is “both young and old”. Second, such rule is not worthwhile and carries little meaning.

Thus, we can conclude that all fuzzy attributes in the same rule are independent in that there is no pair of fuzzy attribute whose original attribute is the same. This observation is the foundation of our new parallel algorithm.

I will coarsely describe our idea via a simple example. Suppose that we will run our algorithm on the database in table 8 and on a 6-processor parallel system. We need to divide the set of fuzzy attributes \( \mathbf{I_F} \) among processors so that processors can operate in parallel and independently as follows.

The processor \( P^1 \):
\[
\mathbf{I_F}^1 = \{ [Age, Age_Young] (1), [Cholesterol, Cholesterol_Low] (4), \\
[BloodSugar, BloodSugar_0] (6), [BloodSugar, BloodSugar_1] (7), \\
[HeartDisease, HeartDisease_No] (8), [HeartDisease, HeartDisease_Yes] (9) \}
\]
\[
= \{ 1, 4, 6, 7, 8, 9 \}
\]

The processor \( P^2 \): \( \mathbf{I_F}^2 = \{ 1, 5, 6, 7, 8, 9 \} \)

The processor \( P^3 \): \( \mathbf{I_F}^3 = \{ 2, 4, 6, 7, 8, 9 \} \)

The processor \( P^4 \): \( \mathbf{I_F}^4 = \{ 2, 5, 6, 7, 8, 9 \} \)

The processor \( P^5 \): \( \mathbf{I_F}^5 = \{ 3, 4, 6, 7, 8, 9 \} \)

The processor \( P^6 \): \( \mathbf{I_F}^6 = \{ 3, 5, 6, 7, 8, 9 \} \)
We divide the \( I_F \) based on the first two attributes *Age* and *Cholesterol*. The 9 initial fuzzy attributes are now distributed among 6 processors and each processor receive 6 fuzzy attributes. This division is “ideal” because the number of processors (6) is equal to the multiplication of number fuzzy sets associated with attribute *Age* (3) and number of fuzzy sets associated with attribute *Cholesterol* (2) (i.e. \( 6 = 3*2 \)).

The optimal division is where we could equally disperse fuzzy attributes to all processors in the system. In the case of being unable to obtain a optimal division, we will use “the most reasonable” one. This means that several processors are in idle state while others work hard. I would like to present an algorithm used for fuzzy attributes division. It first tries to find the optimal solution, if not it will return “the most reasonable” one. The division algorithm is formally described below:

Given a database \( D \) with \( I = \{i_1, i_2, \ldots, i_n\} \) is set of \( n \) attributes, and \( T = \{t_1, t_2, \ldots, t_m\} \) is set of \( m \) records. After being fuzzified, \( D, I, \) and \( T \) are converted into \( D_F, I_F, \) and \( T_F \) respectively.

\[
I_F = \{[i_1, {f_{i_1}}^1], \ldots, [i_1, {f_{i_1}}^{k_1}], [i_2, {f_{i_2}}^1], \ldots, [i_2, {f_{i_2}}^{k_2}], \ldots, [i_n, {f_{i_n}}^1], \ldots, [i_n, {f_{i_n}}^{kn}]\}
\]

Where, \( f_{ij}^u \) and \( k_j \) are the \( u \)th fuzzy set and the number of fuzzy sets associated with attribute \( i_j \). For example, the database in table 8, we have \( I = \{Age, SerumCholesterol, BloodSugar, HeartDisease\} \) and after converting we receive \( I_F \) as shown in table 16. In this case, \( k_1 = 3, k_2 = 2, k_3 = 2, k_4 = 2 \) are numbers of fuzzy sets associated with original attributes in \( I \).

Let \( FN = \{k_1\} \cup \{k_2\} \cup \ldots \cup \{k_n\} = \{s_1, s_2, \ldots, s_v\} (v \leq n \) as the may be pairs such as \( (k_i, k_j) \) that equal) and \( N \) is the number of processors in the system. The division algorithm is changed to the problem stated as: Find the non-empty subset \( F_n \) of \( FN \) such that the multiplication among elements in \( F_n \) is equal to \( N \) (this is the optimal solution). In the case of being unable to obtain the optimal solution, the algorithm will return “the most reasonable” solution. This means that the multiplication among elements in \( F_n \) is a lower approximation of \( N \).

This algorithm is designed according to backtracking strategy, it is whether recursive or not.
The division algorithm:

```plaintext
BOOLEAN Subset(FN, N, Idx)
1    k = 1;
2    Idx[1] = 0;
3    S = 0;
4   While (k > 0) {
5       Idx[k]++;
6       if (Idx[k] <= sizeof(FN)) {
7           if (S * FN[Idx[k]] <= N) {
8               if (S * FN[Idx[k]] == N)
9                  return TRUE;
10              } else {
11                  S *= FN[Idx[k]];
12                  Idx[k + 1] = Idx[k];
13                  k++;
14             }
15       } else {
16          k--;
17          S /= FN[Idx[k]];
18       }
19   }
20 return FALSE;
```

FindSubset(FN, N, Idx, Fn)
1   for (n = N; n > 0; n--)
2       If (Subset(FN, n, Idx)) {
3           Fn = {FN[i] | i ∈ Idx}
4       return;
5   }
```

Table 17 - Fuzzy attributes dividing algorithm among processors

In the above example, the Fn is \{3, 2\}. The above algorithm surely to return “the most reasonable” solution in the case not receiving the optimal one since the FindSubset will gradually decrease n to search for lower approximation space of N.
4.2.2 The New Algorithm

**Inputs:** The database $D$ with the attribute set $I$ and the record set $T$, the number of processors is refered to as $N$, The $\text{minsup}$ and $\text{minconf}$ indicate the minimum support and minimum confidence threshold respectively.

**Outputs:** All possible confident fuzzy association rules.

The parallel algorithm for mining fuzzy association rules includes the following steps:

- (1): Converting the database $D$, attribute set $I$, and record set $T$ into $D_F$, $I_F$, and $T_F$ respectively. This is fuzzification process.
- (2): Using the algorithm in table 17 for fuzzy attributes scattering among $N$ processors in the system.
- (3): Each processor $P^i$ use the sequential algorithm in table 10 to mine frequent itemsets and algorithm in table 15 to generate confident fuzzy association rules.
- (4): Collecting discovered rules from all processors in the system.

This algorithm is successfully applied to not only fuzzy association rules but quantitative and categorical ones.

4.3 Experiments and Conclusions

- Experimenting while varying number of attributes and number of records to measure mining time.
- Experimenting while increasing and decreasing the number of processors in the system
Chapter 5. Conclusions

5.1 Achievements throughout the dissertation

Being based on the previous research outputs in the field of data mining, the dissertation not only sums up the most noticeable features in this research direction but also presents several new proposals. We summarize herein the chief achievements throughout the thesis.

In the first chapter, the thesis outlines the overall picture of data mining - some descriptive definitions as well as its motivation. This section also generally mentions the dominant techniques that are applied for subproblems such as clustering, classification/prediction, association rules mining, etc. Finally, the chapter enumerates the recently focused research trends in this research domain.

The chapter two formally describes the issue of association rules mining proposed by R. Agrawal in 1993. The most fundamental concepts are also given such as itemset, frequent itemset, confident rule, etc. Moreover, this chapter depicts some most interesting research topics that are concentrated in recent years such as fuzzy association rules, parallel mining of association rules, etc. The core target of this chapter is to present the background of association rules mining to readers. A thorough understanding of this chapter will be very convenient for studying the next two chapters.

On the foundation of the previous proposals of [4] [9] [38] [39], chapter three present the problem of quantitative & categorical association rules mining together with its advantages and disadvantages. However, the focused target of this chapter is to deeply study a special kind of rule - the fuzzy association rule. This type of rule is much more flexible, readable, and intuitive comparing to the elementary kind of rule described in the previous chapter. The depiction of this kind of rule in [4] [9] is so brief that they could not emphasize the sensitive relation between fuzzy association rules and fuzzy logic theory. The thesis also explains why we choose min function and algebraic multiplication for T-norm operator in formula (3.6). In addition, this section restates the algorithm for mining fuzzy association rules in [4] [9] based on Apriori with just slight customizations. Finally, this
The chapter offers a transformation method for converting fuzzy association rule into quantitative ones.

Chapter four suggests a novel parallel algorithm for mining fuzzy association rules. In this algorithm, processors will largely reduce the amount of information need to be communicated during processing time. The algorithm is considered to be “ideal” thanks to its wise strategy in delivering the original set of fuzzy attributes for processors. This division method is both balanced and intelligent in that partitions after dividing are equal and each processor can operate upon its partition independently. However, the algorithm contains some drawbacks. First, it is only successfully applied for mining fuzzy or quantitative association rules. Second, it only works well on shared-nothing parallel systems.

During the time I write this thesis and previous time, I have tried my best to research and consult many other reference documents. However, the thesis cannot avoid several mistakes and shortcomings. I would like to appreciate any comment and suggestion in both technical and editorial problems from all readers.

### 5.2 Future research

*Association rule mining* is attractive to many researchers because of its widespread applications in various areas as well as it potentially contains different research trends. In this dissertation, I only focus on *fuzzy association rule* and parallel algorithm for mining this kind of rule. In the next time, the following research directions will be taken into consideration:

- **Mining fuzzy association rules with weighted attributes.** The major goal of this kind of rule is to measure the “contribution level” of each attribute to the overall rule. For example, as we mining association rules on data related to heart disease, the information of attributes such as *blood pressure*, *blood sugar*, *cholesterol* is much more critical than that of *body weight* and *age*. Thus, we attach higher weights to *blood pressure*, *blood sugar*, *cholesterol* comparing to those of *body weight* and *age*.

- **The parallel algorithm we recommend in chapter four works only on shared-nothing systems.** In the near future, we would like to concentrate on designing parallel algorithms for other architectures such as SMP, etc.
Although the issue of association rules mining is highly independent to what kind of data to be mined. However, we would like to focus on a certain database to improve the proposed algorithms and to tune related parameters.
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